

INEQUALITIES FOR A CLASS OF COMPOSITE POLYNOMIALS

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ABSTRACT. In this paper, we establish some inequalities for a more general class of polynomials $P(S(z))$, where $P(z) := \sum_{j=0}^n a_j z^j$ and $S(z) := \sum_{j=0}^m b_j z^j$ are polynomials of degree n and m respectively. These inequalities not only generalize the results due to Dubinin [J. Math. Sci., **143** (2007), 3069-3076] but also refine a result due to Shah and Liman [NFAA, 9(2004), 223-232].

1. INTRODUCTION AND PRELIMINARIES

For each positive integer n , let $\mathcal{P}_n$ denote the linear space of all polynomials $P(z) := \sum_{j=0}^n a_j z^j$ of degree at most n over the field $\mathbb{C}$ of complex numbers. If $P \in \mathcal{P}_n$ and P' be its derivative, then concerning the estimate of $\max_{|z|=1} |P'(z)|$ in terms of $\max_{|z|=1} |P(z)|$, we have the following well known result due to Bernstein [2].

$$\max_{|z|=1} |P'(z)| \leq n \max_{|z|=1} |P(z)|, \text{ for all } z \in \mathbb{C}. \quad (1.1)$$

Equality holds in (1.1) if and only if all the zeros of P are at the origin. It is natural to ask what happens to inequality (1.1), if we impose restrictions on the location of zeros of P . In this connection the following inequalities are the earliest belonging to this domain of ideas which have a clear impact on the subsequent work carried forward since then.

If $P \in \mathcal{P}_n$ has all zeros in $|z| \geq 1$, then

$$\max_{|z|=1} |P'(z)| \leq \frac{n}{2} \max_{|z|=1} |P(z)|. \quad (1.2)$$

and in case if $P \in \mathcal{P}_n$ has all zeros in $|z| \leq 1$, then

$$\max_{|z|=1} |P'(z)| \geq \frac{n}{2} \max_{|z|=1} |P(z)|. \quad (1.3)$$

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Inequality (1.2) was conjectured by Erdős and latter proved by Lax [7], whereas inequality (1.3) is due to Turán [12]. Inequality (1.3) was further refined by Dubinin [5], who with the same hypothesis for the polynomial $P(z) = \sum_{j=0}^n a_j z^j$ obtained.

$$\max_{|z|=1} |P'(z)| \geq \frac{1}{2} \left(n + \frac{|a_n| - |a_0|}{|a_n| + |a_0|} \right) \max_{|z|=1} |P(z)|. \quad (1.4)$$

If $P \in \mathcal{P}_n$ and $S \in \mathcal{P}_m$, then we define the composition of polynomials P and S by $(P \circ S)(z) = P(S(z))$, for all $z \in \mathbb{C}$. Clearly $P \circ S \in \mathcal{P}_{mn}$. For such class of polynomials Shah and Liman [11] proved several results. In particular they proved:

If $P \circ S \in \mathcal{P}_{mn}$ is such that $(P \circ S)(z) \neq 0$ for $|z| > 1$, then for any z with $|z| \leq 1$, we have

$$|P'(S(z))| \geq \frac{n}{2M'} |z|^{mn-m} \max_{|z|=1} |P(S(z))|,$$

where $M' = \max_{|z|=1} |S(z)|$.

2. LEMMAS

Lemma 2.1. *If $P \in \mathcal{P}_n$ be such that $P(z) \neq 0$ in $|z| > 1$, then*

$$\min_{|z|=1} |P'(z)| \geq n \min_{|z|=1} |P(z)|.$$

The above lemma is due to Aziz and Dawood [1].

Lemma 2.2. *If $P \circ S \in \mathcal{P}_{mn}$, then for $|z| \geq 1$,*

$$(P \circ S)'(z) + (Q \circ S)'(z) \leq nm \max_{|z|=1} |(P \circ S)(z)|,$$

where $(Q \circ S)(z) = \overline{z^{mn} P\left(\frac{1}{\bar{z}}\right)}$.

The above lemma follows from a result due to Govil and Rehman [6].

Lemma 2.3. *Let $f : E \rightarrow E$ be a holomorphic function, where $E = \{z : |z| < 1\}$, with $|f(z)| < 1$ for all $z \in E$. Assume that there is $b \in \partial E$, (a boundary of E), so that f extends continuously to b with $|f(b)| = 1$ and $f'(b)$ exists. Then*

$$|f'(b)| \geq \frac{2}{1 + |f'(0)|}. \quad (2.1)$$

The above lemma is due to Osserman [9] (see also [4], [10]).

3. MAIN RESULTS

In this paper, we first prove the following generalization of a result due to Dubinin [5].

Theorem 3.1. *Let $P(z) := \sum_{j=0}^n a_j z^j$ and $S(z) := \sum_{j=0}^m b_j z^j$ be two polynomials of degree n and m respectively, such that $(P \circ S)(z) \neq 0$ for $|z| > 1$, then for any z with $|z| \leq 1$, we have*

$$\operatorname{Re} \left(\frac{z(P \circ S)'(z)}{(P \circ S)(z)} \right) \geq \frac{mn-1}{2} + \frac{|a_n b_m^n|}{|a_n b_m^n| + |\sum_{j=0}^n a_j b_0^j|}. \quad (3.1)$$

The result is sharp for $S(z) = z$ and equality holds for $(P \circ S)(z) = z^n + \lambda$ with $|\lambda| = 1$.

Proof. For $P(z) = \sum_{j=0}^n a_j z^j$ and $S(z) = \sum_{j=0}^m b_j z^j$, we have

$$\begin{aligned} (P \circ S)(z) &= P(S(z)) \\ &= a_n (b_m z^m + b_{m-1} z^{m-1} + \dots + b_0)^n + \\ &\quad a_{n-1} (b_m z^m + b_{m-1} z^{m-1} + \dots + b_0)^{n-1} + \dots + a_0 \\ &= a_n \left[\binom{n}{0} b_m^n z^{mn} + \left(\binom{n}{1} b_m^{n-1} b_{m-1} \right) z^{mn-1} + \dots + b_0^n \right] + \dots + a_1 b_0 + a_0 \\ &= \sum_{j=0}^{mn} c_j z^j, \text{ where } c_0 = \sum_{j=0}^n a_j b_0^j, \dots, c_{mn} = a_n b_m^n. \end{aligned}$$

Let $z_1, z_2, \dots, z_{mn}$ be the zeros of $P \circ S \in \mathcal{P}_{mn}$, so that $(P \circ S)(z) = c_{mn} \prod_{j=1}^{mn} (z - z_j)$, $|z_j| \leq 1$.

If

$$Q(S(z)) = \overline{z^{mn} P\left(S\left(\frac{1}{\bar{z}}\right)\right)},$$

then $Q(S(z))$ has all zeros in $|z| \geq 1$. Hence

$$F(z) = \frac{P(S(z))}{\overline{z^{mn-1} P\left(S\left(\frac{1}{\bar{z}}\right)\right)}} = \frac{c_{mn}}{\overline{c_{mn}}} z \prod_{j=0}^{mn} \frac{z - z_j}{1 - \bar{z}_j z}, \quad (3.2)$$

is an analytic function in $|z| \leq 1$ with $F(0) = 0$, $|F(z)| = 1$ for $|z| = 1$. Thus by lemma 2.3, we have

$$|F'(z)| \geq \frac{2}{1 + |F'(0)|}.$$

It can be easily verified that

$$|F'(0)| = \left| \prod_{j=0}^{mn} z_j \right| = \frac{|\sum_{j=0}^n a_j b_0^j|}{|a_n b_m^n|}.$$

This gives,

$$|F'(z)| \geq \frac{2|a_n b_m^n|}{|a_n b_m^n| + |\sum_{j=0}^n a_j b_0^j|}. \quad (3.3)$$

Now by using the fact that for $|z| = 1$, $|F'(z)| = \frac{zF'(z)}{F(z)}$, (see [8]), we have from (3.2), after differentiating both sides with respect to z

$$\frac{zF'(z)}{F(z)} = \left(\frac{z(P \circ S)'(z)}{(P \circ S)(z)} \right) + \overline{\left(\frac{z(P \circ S)'(z)}{(P \circ S)(z)} \right)} - (mn - 1).$$

This implies

$$\frac{zF'(z)}{F(z)} = 2\operatorname{Re} \left(\frac{z(P \circ S)'(z)}{(P \circ S)(z)} \right) - (mn - 1).$$

That is

$$\operatorname{Re} \left(\frac{z(P \circ S)'(z)}{(P \circ S)(z)} \right) = \frac{mn - 1}{2} + \frac{|F'(z)|}{2}.$$

Equivalently for $|z| = 1$, we get by using (3.3)

$$\operatorname{Re} \left(\frac{z(P \circ S)'(z)}{(P \circ S)(z)} \right) \geq \frac{mn - 1}{2} + \frac{|a_n b_m^n|}{|a_n b_m^n| + |\sum_{j=0}^n a_j b_0^j|}$$

This completely proves Theorem 3.1. $\square$

Remark 3.2. For $S(z) = z$, Theorem 3.1 reduces to a result due to Dubinin [5, Theorem 6].

We next prove the following result, which besides generalizing another inequality proved by Dubinin [5] improves a result due to Shah and Liman [11].

Theorem 3.3. *Let $P(z)$ and $S(z)$ be as defined in Theorem 3.1, such that $(P \circ S)(z) \neq 0$ for $|z| > 1$, then for any z with $|z| \leq 1$, we have*

$$\max_{|z|=1} |P'(S(z))| \geq \frac{1}{2mM'} \left\{ mn + \frac{|a_n b_m^n| - |\sum_{j=0}^n a_j b_0^j|}{|a_n b_m^n| + |\sum_{j=0}^n a_j b_0^j|} \right\} \max_{|z|=1} |P(S(z))|, \quad (3.4)$$

where $M' = \max_{|z|=1} |S(z)|$.

The result is sharp for $S(z) = z$ and equality holds for $(P \circ S)(z) = z^n + \lambda$ with $|\lambda| = 1$.

Proof. Since $P \circ S \in \mathcal{P}_{mn}$ is such that $(P \circ S)(z) \neq 0$ for $|z| > 1$, therefore by Theorem 3.1, we have

$$\operatorname{Re} \left(\frac{z(P \circ S)'(z)}{(P \circ S)(z)} \right) \geq \left(\frac{mn}{2} + \frac{1}{2} \frac{|a_n b_m^n| - |\sum_{j=0}^n a_j b_0^j|}{|a_n b_m^n| + |\sum_{j=0}^n a_j b_0^j|} \right). \quad (3.5)$$

Also for $|z| = 1$,

$$\left| \frac{(P \circ S)'(z)}{(P \circ S)(z)} \right| \geq \operatorname{Re} \left(\frac{z(P \circ S)'(z)}{(P \circ S)(z)} \right). \quad (3.6)$$

Combining (3.5) and (3.6), we get for $|z| = 1$

$$|(P(S(z)))'| \geq \frac{1}{2} \left\{ mn + \frac{|a_n b_m^n| - |\sum_{j=0}^n a_j b_0^j|}{|a_n b_m^n| + |\sum_{j=0}^n a_j b_0^j|} \right\} |P(S(z))|. \quad (3.7)$$

Since $S(z)$ is a polynomial of degree m and $|S(z)| \leq M'$ for $|z| \leq 1$, therefore by (1.1), we have $\max_{|z|=1} |S'(z)| \leq mM'$, for all $z \in \mathbb{C}$. Hence from (3.7), we get for $|z| = 1$

$$\max_{|z|=1} |P'(S(z))| \geq \frac{1}{2mM'} \left\{ mn + \frac{|a_n b_m^n| - |\sum_{j=0}^n a_j b_0^j|}{|a_n b_m^n| + |\sum_{j=0}^n a_j b_0^j|} \right\} \max_{|z|=1} |P(S(z))|.$$

This completely proves Theorem 3.3. $\square$

Remark 3.4. If we put $S(z) = z$, then Theorem 3.3 reduces to a result of Dubinin [5].

Remark 3.5. Since all the zeros of $P(S(z))$ lie in $|z| \leq 1$, we have $|a_n b_m^n| \geq |\sum_{j=0}^n a_j b_0^j|$, showing that inequality (3.4) improves a result due to Shah and Liman [11].

We now prove some results for the class of polynomials having all zeros outside the unit disk. In this direction we first prove:

Theorem 3.6. *Let $P(z)$ and $S(z)$ be as defined in Theorem 3.1, such that $(P \circ S)(z) \neq 0$ for $|z| < 1$, then for any z with $|z| \geq 1$, we have*

$$\operatorname{Re} \left(\frac{z(P \circ S)'(z)}{(P \circ S)(z)} \right) \leq \frac{mn+1}{2} - \frac{|a_n b_m^n|}{|a_n b_m^n| + |\sum_{j=0}^n a_j b_0^j|}. \quad (3.8)$$

The result is sharp for $S(z) = z$ and equality holds for $(P \circ S)(z) = z^n + \lambda$ with $|\lambda| = 1$.

Proof. Let $z_1, z_2, \dots, z_{mn}$ be the zeros of $(P \circ S)(z)$, then as in the proof of Theorem 3.1, we have $P(S(z)) = c_{mn} \prod_{j=1}^{mn} (z - z_j)$, $|z_j| \geq 1$. Therefore, $Q(S(z)) = \overline{z^{mn} P(\frac{1}{\bar{z}})}$ has all zeros in $|z| \leq 1$. Hence

$$T(z) = \frac{zQ(S(z))}{P(S(z))} = \frac{z^{mn+1} \overline{P(S(\frac{1}{\bar{z}}))}}{P(S(z))} = \frac{\overline{c_{mn}}}{c_{mn}} z \prod_{j=1}^{mn} \frac{1 - \bar{z}_j z}{z - z_j}, \quad (3.9)$$

is an analytic function in $|z| \leq 1$, with $T(0) = 0$ and $|T(z)| = 1$ for $|z| = 1$. By using Lemma 2.3, we have

$$|T'(z)| \geq \frac{2}{1 + |T'(0)|}, \quad (3.10)$$

where

$$|T'(0)| = \frac{1}{|\prod_{j=1}^{mn} z_j|} = \frac{|a_n b_m^n|}{|\sum_{j=0}^n a_j b_0^j|}.$$

This gives

$$|T'(z)| \geq \frac{2|\sum_{j=0}^n a_j b_0^j|}{|a_n b_m^n| + |\sum_{j=0}^n a_j b_0^j|}. \quad (3.11)$$

Also from (3.9), we have

$$\frac{zT'(z)}{T(z)} = mn + 1 - 2\operatorname{Re}\left(\frac{z(P \circ S(z))'}{P \circ S(z)}\right). \quad (3.12)$$

Using the fact $|T'(z)| = \frac{zT'(z)}{T(z)}$ for $|z| = 1$, we get

$$\operatorname{Re}\left(\frac{z(P \circ S)'(z)}{(P \circ S)(z)}\right) = \frac{mn + 1}{2} - \frac{|T'(z)|}{2}.$$

Equivalently, for $|z| = 1$, we get by using (3.11)

$$\operatorname{Re}\left(\frac{z(P \circ S)'(z)}{(P \circ S)(z)}\right) \leq \frac{mn + 1}{2} - \frac{|\sum_{j=0}^n a_j b_0^j|}{|a_n b_m^n| + |\sum_{j=0}^n a_j b_0^j|}.$$

This completely proves Theorem 3.6. $\square$

We also prove the following.

Theorem 3.7. *Let $P(z)$ and $S(z)$ be as defined in Theorem 3.1, such that $(P \circ S)(z) \neq 0$ for $|z| < 1$, then for any z , with $|z| \geq 1$, we have*

$$\max_{|z|=1} |P'(S(z))| \leq \frac{1}{2m'} \left\{ \left[mn - \frac{|\sum_{j=0}^n a_j b_0^j| - |a_n b_m^n|}{|\sum_{j=0}^n a_j b_0^j| + |a_n b_m^n|} \frac{|P(S(z))|^2}{\left(\max_{|z|=1} |(P(S(z)))| \right)^2} \right] \max_{|z|=1} |(P(S(z)))| \right\}.$$

Where $m' = \min_{|z|=1} |S(z)|$.

The result is sharp for $S(z) = z$ and equality holds for $(P \circ S)(z) = z^n + \lambda$ with $|\lambda| = 1$.

Proof. We first assume that $P(S(z)) \neq 0$ for $|z| = 1$ and if

$$Q(S(z)) = \overline{z^{mn} P\left(\frac{1}{\bar{z}}\right)},$$

then it can be easily verified that for $|z| = 1$

$$|(Q(S(z)))'| = \left| mnP(S(z)) - z(P(S(z)))' \right|.$$

This gives by using inequality (3.8) for $|z| = 1$

$$\begin{aligned}
\left| \frac{z(Q(S(z)))'}{P(S(z))} \right|^2 &= \left| mn - \frac{z(P(S(z)))'}{P(S(z))} \right|^2 \\
&= (mn)^2 + \left| \frac{z(P(S(z)))'}{P(S(z))} \right|^2 - 2mn \operatorname{Re} \left\{ \frac{z(P(S(z)))'}{P(S(z))} \right\} \\
&\geq (mn)^2 + \left| \frac{z(P(S(z)))'}{P(S(z))} \right|^2 - mn \left\{ mn - \frac{\left| \sum_{j=0}^n a_j b_0^j \right| - |a_n b_m^n|}{\left| \sum_{j=0}^n a_j b_0^j \right| + |a_n b_m^n|} \right\} \\
&= \left| \frac{z(P(S(z)))'}{P(S(z))} \right|^2 + \frac{\left| \sum_{j=0}^n a_j b_0^j \right| - |a_n b_m^n|}{\left| \sum_{j=0}^n a_j b_0^j \right| + |a_n b_m^n|} mn.
\end{aligned}$$

This can further be written as

$$\left| (P(S(z)))' \right|^2 + \frac{\left| \sum_{j=0}^n a_j b_0^j \right| - |a_n b_m^n|}{\left| \sum_{j=0}^n a_j b_0^j \right| + |a_n b_m^n|} mn \left| (P(S(z))) \right|^2 \leq \left| (Q(S(z)))' \right|^2.$$

This inequality is trivially true in case $P(S(z)) = 0$ on $|z| = 1$. By using Lemma 2.2 in the above inequality, we get

$$\left| (P(S(z)))' \right| + \left(\left| (P(S(z)))' \right|^2 + \frac{\left| \sum_{j=0}^n a_j b_0^j \right| - |a_n b_m^n|}{\left| \sum_{j=0}^n a_j b_0^j \right| + |a_n b_m^n|} mn \left| (P(S(z))) \right|^2 \right)^{\frac{1}{2}} \leq mn \left(\max_{|z|=1} \left| (P(S(z))) \right| \right).$$

This implies

$$\begin{aligned}
&\left| (P(S(z)))' \right|^2 + \frac{\left| \sum_{j=0}^n a_j b_0^j \right| - |a_n b_m^n|}{\left| \sum_{j=0}^n a_j b_0^j \right| + |a_n b_m^n|} mn \left| (P(S(z))) \right|^2 \\
&\leq (mn)^2 \left(\max_{|z|=1} \left| (P(S(z))) \right| \right)^2 + \left| (P(S(z)))' \right|^2 - 2mn \left| (P(S(z)))' \right| \left(\max_{|z|=1} \left| (P(S(z))) \right| \right).
\end{aligned}$$

Now using Lemma 2.1, we get on simplification for $|z| = 1$

$$\max_{|z|=1} |P'(S(z))| \leq \frac{1}{2m'} \left\{ \left[mn - \frac{\left| \sum_{j=0}^n a_j b_0^j \right| - |a_n b_m^n|}{\left| \sum_{j=0}^n a_j b_0^j \right| + |a_n b_m^n|} \frac{|P(S(z))|^2}{\left(\max_{|z|=1} \left| (P(S(z))) \right| \right)^2} \right] \max_{|z|=1} \left| (P(S(z))) \right| \right\}.$$

This completely proves Theorem 3.7. $\square$

If we put $S(z) = z$, in Theorem 3.7, then we get the following result.

Corollary 3.8. *If $P \in \mathcal{P}_n$ be such that $P(z) \neq 0$ in $|z| < 1$, then for any z with $|z| = 1$,*

$$\max_{|z|=1} |P'(z)| \leq \frac{1}{2} \left\{ \left[n - \frac{|a_0| - |a_n|}{|a_0| + |a_n|} \frac{|P(z)|^2}{\left(\max_{|z|=1} |P(z)| \right)^2} \right] \max_{|z|=1} |P(z)| \right\}.$$

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